

EXHIBIT B-1

5396550 - BHATTACHARYA MR., ABHISHEK
Reportable Events
Regulator Archive and Z Records

3
9
10

TWPL00001076

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Notice

CRD® or IARD(SM) Information: This report contains information from the CRD (Central Registration Depository) system, or the IARD system (Investment Advisers Registration Depository), which are operated by FINRA, a national securities association registered under the Securities Exchange Act of 1934. The CRD system primarily contains information submitted on uniform broker-dealer and agent registration forms and certain other information related to registration and licensing. The IARD system primarily contains information submitted on uniform investment adviser and agent registration forms and certain other information related to registration and licensing. The information on Uniform Forms filed with the CRD or IARD is deemed to have been filed with each regulator with which the applicant seeks to be registered or licensed and shall be the joint property of the applicant and such regulators. The compilation constituting the CRD database as a whole is the property of FINRA. Neither FINRA nor a participating regulator warrants or guarantees the accuracy or the completeness of the CRD or IARD information. CRD information consists of reportable and non-reportable information. FINRA operates the CRD system in its capacity as a registered national securities association and pursuant to an agreement with the North American Securities Administrators Association, Inc. (NASAA). FINRA operates the IARD system as a vendor pursuant to a contract with the Securities and Exchange Commission and undertakings with NASAA and participating state regulators. Reportable Information: Information that is required to be reported on the current version of the uniform registration forms. Non-Reportable Information: Information that is not currently reportable on a uniform registration form. Information typically is not reportable because it is out-of-date; it was reported in error; or some change occurred either in the disposition of the underlying event after it was reported or in the question on the form that elicited the information. Although not currently reportable, this information was once reported on a uniform form and, consequently, may have become a state record. Users of this information should recognize that filers have no obligation to update non-reportable data; accordingly, it may not reflect changes that have occurred since it was reported.

TWPL00001077

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Details for Request# 4496487
Report: Snapshot - Individual

Parameter Name	Value
Request by CRD # or SSN:	CRD #
Individual CRD # or SSN	5396550
Include Personal Information?	Yes
Include All Registrations with Employments:	Both Current and Previous Employments
Include All Registrations for Current and/or Previous Employments with:	All Regulators
Include Professional Designations?	Yes
Include Employment History?	Yes
Include Other Business	Yes
Include Exam Information?	Yes
Include Continuing Education Information?	Yes
Include Filing History?	Yes
Include Current Reportable Disclosure Information?	Yes
Include Regulator Archive and Z Record Information?	Yes
Is this a Pre-Registration Report Request?	No
User Initials	KS

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001078

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396550 - BHATTACHARYA MR., ABHISHEK

Administrative Information:

Composite Information:

Full Legal Name: BHATTACHARYA MR., ABHISHEK

Year of Birth: 1980

State of Residence: <<No Current Active Employments for this Individual.>>

Reportable Disclosures?

The specified individual has no disclosure that qualifies for reporting under this section (i.e., disclosure required to be reported on Form U-4 or Form U-5). Regulatory and Broker/Dealer Users: Please note that there are three types of disclosure in Web CRD: Reportable, Legacy and Archive disclosure. An individual with no reportable disclosure may or may not have Legacy or Archive disclosure.

Investment Adviser Users: Please note that IARD does not include Legacy disclosure. Information reported on previous form filings through IARD is available under Filing History.

Statutorily Disqualification? CLEAR

Registered With Multiple Firms? No

Material Difference in Disclosure? No

Personal Information:

Individual CRD#: 5396550

Other Names Known By: BHATTACHARYA, ABHISHEK

Year of Birth: 1980

Registrations with Previous Employer(s):

From 06/11/2007 To 12/03/2007 THOMAS WEISEL PARTNERS LLC(46237)

Reason for Termination: Voluntary

Termination Comment:

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001079

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396550 - BHATTACHARYA MR., ABHISHEK

Administrative Information:

Registrations with Previous Employer(s) (cont):

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AMEX	GS	12/20/2007	TERMED	10/15/2007
ARCA	GS	12/20/2007	TERMED	10/15/2007
CA	AG	12/20/2007	TERMED	10/15/2007
FINRA	GS	12/20/2007	TERMED	10/15/2007
FINRA	RS	11/07/2007	T_NOREG	
MA	AG	12/20/2007	TERMED	10/15/2007
NQX	GS	12/20/2007	TERMED	10/15/2007
NY	AG	12/20/2007	TERMED	10/15/2007
NYSE	GS	12/20/2007	TERMED	10/15/2007
NYSE	RS	11/07/2007	T_NOREG	

Professional Designations:

<< None found for this Individual: BHATTACHARYA MR., ABHISHEK >>

Employment History:

From 06/2007 To Present:	Name: THOMAS WEISEL PARTNERS LLC Location: MUMBAI, INDIA Position: RESEARCH ASSOCIATE Investment Related: Yes
From 07/2005 To 04/2007	Name: BENNETT, COLEMAN & CO LTD Location: NEW DELHI, INDIA Position: RESEARCH ANALYST Investment Related: Yes
From 06/2003 To 05/2005	Name: MARICO LIMITED Location: MUMBAI, INDIA Position: MANAGER Investment Related: No
From 02/2003 To 06/2003	Name: UNEMPLOYED Location: NEW DELHI, INDIA Position: TRAVELLING ON VACATION Investment Related: No

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001080

Administrative Information:

Registered Location? Y Type of Office: Located At

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396550 - BHATTACHARYA MR., ABHISHEK

Administrative Information:

Other Business:

<<No Other Business found for this Individual.>>

Examination Information:

Exam	Status	Status Date	Exam Date	Grade	Score	Window Dates
S63	OFFICIAL_RESULT	08/30/2007	08/29/2007	PASSED	80	08/04/2007-12/02/2007
S7	OFFICIAL_RESULT	10/15/2007	10/13/2007	PASSED	84	08/04/2007-12/02/2007
S86	WITHDRAW	11/07/2007				10/16/2007-02/13/2008
S87	WITHDRAW	11/07/2007				10/16/2007-02/13/2008

CE Regulatory Element Status:

Current CE Status: SATISFIED

CE Base Date: 10/15/2007

Current CE

<<No Current CE Session Found >>

Next CE

Requirement Window	Requirement Type	Session
10/15/2009-02/11/2010	Anniversary	101

CE Directed Sequence History

<<No CE Directed Sequence History Found for this Individual.>>

Inactive CE History Dates

<<No Inactive CE History Found for this Individual.>>

Previous CE Requirement Status

<<No Previous CE Session Found for this Individual.>>

Filing History:

Filing Date	Form Type	Filing Type	Source
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CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001082

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396550 - BHATTACHARYA MR., ABHISHEK

Administrative Information:

Filing History (cont):

Filing Date	Form Type	Filing Type	Source
12/20/2007	U5	FULL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
11/07/2007	U5	PARTIAL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
10/15/2007	U4	AMENDMENT	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
08/03/2007	U4	INITIAL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001083

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396550 - BHATTACHARYA MR., ABHISHEK

Administrative Information:

Number of Reportable Events:

<<No Reportable Events found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001084

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396550 - BHATTACHARYA MR., ABHISHEK

Reportable Events:

<<No Reportable Events found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001085

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396550 - BHATTACHARYA MR., ABHISHEK

Regulator Archive and Z Records:

<<No Regulator Archive and Z Records found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001086

5143591 - ELESWARAPU, ABHIRAM
Reportable Events
Regulator Archive and Z Records

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TWPL00001087

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Notice

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TWPL00001088

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Details for Request# 4496489
 Report: Snapshot - Individual

Parameter Name	Value
Request by CRD # or SSN:	CRD #
Individual CRD # or SSN	5143591
Include Personal Information?	Yes
Include All Registrations with Employments:	Both Current and Previous Employments
Include All Registrations for Current and/or Previous Employments with:	All Regulators
Include Professional Designations?	Yes
Include Employment History?	Yes
Include Other Business	Yes
Include Exam Information?	Yes
Include Continuing Education Information?	Yes
Include Filing History?	Yes
Include Current Reportable Disclosure Information?	Yes
Include Regulator Archive and Z Record Information?	Yes
Is this a Pre-Registration Report Request?	No
User Initials	KS

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001089

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5143591 - ELESWARAPU, ABHIRAM

Administrative Information:

Composite Information:

Full Legal Name: ELESWARAPU, ABHIRAM

Year of Birth: 1977

State of Residence: <<No Current Active Employments for this Individual.>>

Reportable Disclosures?

The specified individual has no disclosure that qualifies for reporting under this section (i.e., disclosure required to be reported on Form U-4 or Form U-5). Regulatory and Broker/Dealer Users: Please note that there are three types of disclosure in Web CRD: Reportable, Legacy and Archive disclosure. An individual with no reportable disclosure may or may not have Legacy or Archive disclosure. Investment Adviser Users: Please note that IARD does not include Legacy disclosure. Information reported on previous form filings through IARD is available under Filing History.

Statutorily Disqualification? CLEAR

Registered With Multiple Firms? No

Material Difference in Disclosure? No

Personal Information:

Individual CRD#: 5143591

Other Names Known By: <<No Other Names found for this Individual.>>

Year of Birth: 1977

Registrations with Previous Employer(s):

From 03/27/2006 To 12/06/2007 THOMAS WEISEL PARTNERS LLC(46237)

Reason for Termination: Voluntary

Termination Comment:

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001090

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5143591 - ELESWARAPU, ABHIRAM

Administrative Information:

Registrations with Previous Employer(s) (cont):

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AMEX	GS	12/20/2007	TERMED	08/24/2006
ARCA	GS	12/20/2007	TERMED	08/24/2006
CA	AG	12/20/2007	TERMED	09/05/2006
FINRA	GS	12/20/2007	TERMED	08/24/2006
FINRA	RS	12/20/2007	TERMED	10/10/2006
MA	AG	12/20/2007	TERMED	09/05/2006
NQX	GS	12/20/2007	TERMED	08/24/2006
NY	AG	12/20/2007	TERMED	09/05/2006
NYSE	GS	12/20/2007	TERMED	08/24/2006
NYSE	RS	12/20/2007	TERMED	10/10/2006

Professional Designations:

<< None found for this Individual: ELESWARAPU, ABHIRAM >>

Employment History:

From 03/2006 To Present:	Name: THOMAS WEISEL PARTNERS LLC Location: MUMBAI, INDIA Position: ASSOCIATE Investment Related: Yes
From 05/2005 To 03/2006	Name: IREVNA RESEARCH SERVICES Location: CHENNAI, INDIA Position: MANAGER Investment Related: No
From 04/2004 To 04/2005	Name: INDIAN SCHOOL OF BUSINESS Location: HYDERABAD, INDIA Position: FULL-TIME EDUCATION Investment Related: No
From 12/2000 To 04/2004	Name: ORINOCO MARINE CONSULTANCY Location: MUMBAI, INDIA Position: MARINE ENGINEER Investment Related: No

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001091

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5143591 - ELESWARAPU, ABHIRAM

Administrative Information:

Employment History (cont) :

From 09/2000 To 11/2000	Name: UNEMPLOYED
	Location: KAKINADA, INDIA
	Position: NONE
	Investment Related: No
From 08/1996 To 08/2000	Name: MARINE ENGG & RESEARCH INSTT
	Location: CALCUTTA, INDIA
	Position: FULL-TIME STUDENT
	Investment Related: No
From 05/1995 To 07/1996	Name: UNEMPLOYED
	Location: KAKINADA, INDIA
	Position: NONE
	Investment Related: No

Office of Employment History:

From 03/2006 To 12/2007

Name: THOMAS WEISEL PARTNERS LLC (46237)
Independent Contractor: No

Office of Employment Address:

CRD	NYSE Branch	Firm	Billing	Address	Address
Branch #	Code	Number	Code	Start Date	End Date
118143	018			03/27/2006	12/06/2007
				55/56, FREE PRESS HOUSE	
				215, FREE PRESS JOURNAL MARG, NARIMAN	
				MUMBAI, 400 021 INDIA	
				Registered Location?	Y
				Type of Office:	Located At

Other Business:

<<No Other Business found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001092

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5143591 - ELESWARAPU, ABHIRAM

Administrative Information:

Examination Information:

Exam	Status	Status Date	Exam Date	Grade	Score	Window Dates
S63	OFFICIAL_RESULT	09/05/2006	09/03/2006	PASSED	90	07/18/2006-11/15/2006
S7	OFFICIAL_RESULT	08/24/2006	08/22/2006	PASSED	78	07/18/2006-11/15/2006
S86	OFFICIAL_WAIVR	09/18/2006				08/25/2006-12/23/2006
S87	OFFICIAL_RESULT	10/10/2006	10/09/2006	PASSED	84	08/25/2006-12/23/2006

CE Regulatory Element Status:

Current CE Status: SATISFIED

CE Base Date: 08/24/2006

Current CE

<<No Current CE Session Found >>

Next CE

Requirement Window	Requirement Type	Session
08/24/2008-12/21/2008	Anniversary	101

CE Directed Sequence History

<<No CE Directed Sequence History Found for this Individual.>>

Inactive CE History Dates

<<No Inactive CE History Found for this Individual.>>

Previous CE Requirement Status

<<No Previous CE Session Found for this Individual.>>

Filing History:

Filing Date	Form Type	Filing Type	Source
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CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001093

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5143591 - ELESWARAPU, ABHIRAM

Administrative Information:

Filing History (cont):

Filing Date	Form Type	Filing Type	Source
12/20/2007	U5	FULL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
08/24/2006	U4	AMENDMENT	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
07/17/2006	U4	INITIAL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
05/09/2006	NRF	INITIAL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001094

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5143591 - ELESWARAPU, ABHIRAM

Administrative Information:

Number of Reportable Events:

<<No Reportable Events found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001095

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5143591 - ELESWARAPU, ABHIRAM

Reportable Events:

<<No Reportable Events found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001096

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5143591 - ELESWARAPU, ABHIRAM

Regulator Archive and Z Records:

<<No Regulator Archive and Z Records found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001097

4925399 - SHAH, AMIT PRAMOD

3

TWPL00001098

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Notice

CRD® or IARD(SM) Information: This report contains information from the CRD (Central Registration Depository) system, or the IARD system (Investment Advisers Registration Depository), which are operated by FINRA, a national securities association registered under the Securities Exchange Act of 1934. The CRD system primarily contains information submitted on uniform broker-dealer and agent registration forms and certain other information related to registration and licensing. The IARD system primarily contains information submitted on uniform investment adviser and agent registration forms and certain other information related to registration and licensing. The information on Uniform Forms filed with the CRD or IARD is deemed to have been filed with each regulator with which the applicant seeks to be registered or licensed and shall be the joint property of the applicant and such regulators. The compilation constituting the CRD database as a whole is the property of FINRA. Neither FINRA nor a participating regulator warrants or guarantees the accuracy or the completeness of the CRD or IARD information. CRD information consists of reportable and non-reportable information. FINRA operates the CRD system in its capacity as a registered national securities association and pursuant to an agreement with the North American Securities Administrators Association, Inc. (NASAA). FINRA operates the IARD system as a vendor pursuant to a contract with the Securities and Exchange Commission and undertakings with NASAA and participating state regulators. Reportable Information: Information that is required to be reported on the current version of the uniform registration forms. Non-Reportable Information: Information that is not currently reportable on a uniform registration form. Information typically is not reportable because it is out-of-date; it was reported in error; or some change occurred either in the disposition of the underlying event after it was reported or in the question on the form that elicited the information. Although not currently reportable, this information was once reported on a uniform form and, consequently, may have become a state record. Users of this information should recognize that filers have no obligation to update non-reportable data; accordingly, it may not reflect changes that have occurred since it was reported.

TWPL00001099

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Details for Request# 4496490

Report: Snapshot - Individual

Parameter Name	Value
Request by CRD # or SSN:	CRD #
Individual CRD # or SSN	4925399
Include Personal Information?	No
Include All Registrations with Employments:	Both Current and Previous Employments
Include All Registrations for Current and/or Previous Employments with:	All Regulators
Include Professional Designations?	No
Include Employment History?	No
Include Other Business	No
Include Exam Information?	No
Include Continuing Education Information?	No
Include Filing History?	No
Include Current Reportable Disclosure Information?	No
Include Regulator Archive and Z Record Information?	No
Is this a Pre-Registration Report Request?	No
User Initials	KS

 CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001100

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 4925399 - SHAH, AMIT PRAMOD

Administrative Information:

Composite Information:

Full Legal Name: SHAH, AMIT PRAMOD

Year of Birth: 1980

State of Residence: <No Current Active Employments for this Individual.>

Reportable Disclosures?

The specified individual has no disclosure that qualifies for reporting under this section (i.e., disclosure required to be reported on Form U-4 or Form U-5). Regulatory and Broker/Dealer Users: Please note that there are three types of disclosure in Web CRD: Reportable, Legacy and Archive disclosure. An individual with no reportable disclosure may or may not have Legacy or Archive disclosure. Investment Adviser Users: Please note that IARD does not include Legacy disclosure. Information reported on previous form filings through IARD is available under Filing History.

Statutorily Disqualification? CLEAR

Registered With Multiple Firms? No

Material Difference in Disclosure? No

Registrations with Previous Employer(s):

From 10/30/2006 To 12/06/2007 THOMAS WEISEL PARTNERS LLC(46237)

Reason for Termination: Voluntary

Termination Comment:

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AMEX	GS	12/06/2007	TERMED	11/29/2006
ARCA	GS	12/06/2007	TERMED	11/29/2006
CA	AG	12/06/2007	TERMED	12/12/2006
FINRA	GS	12/06/2007	TERMED	11/29/2006
FINRA	RS	12/06/2007	TERMED	11/29/2006
MA	AG	12/06/2007	TERMED	12/12/2006

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001101

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 4925399 - SHAH, AMIT PRAMOD

Administrative Information:

Registrations with Previous Employer(s) (cont):

Regulator	Registration Category	Status Date	Registration Status	Approval Date
NQX	GS	12/06/2007	TERMED	11/29/2006
NY	AG	12/06/2007	TERMED	12/12/2006
NYSE	GS	12/06/2007	TERMED	11/29/2006
NYSE	RS	12/06/2007	TERMED	11/29/2006

From 01/24/2005 To 11/10/2006

BOE SECURITIES INC.(43486)

Reason for Termination:

Voluntary

Termination Comment:

FOUND OTHER EMPLOYMENT.

Regulator	Registration Category	Status Date	Registration Status	Approval Date
FINRA	GS	11/22/2006	T_NOREG	
FINRA	GS	11/22/2006	TERMED	08/09/2005
FINRA	RS	11/22/2006	TERMED	02/22/2006
PA	AG	11/22/2006	T_NOREG	

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001102

5396535 - SINGH, AVINASH
Reportable Events
Regulator Archive and Z Records

3
9
10

TWPL00001103

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Notice

CRD® or IARD(SM) Information: This report contains information from the CRD (Central Registration Depository) system, or the IARD system (Investment Advisers Registration Depository), which are operated by FINRA, a national securities association registered under the Securities Exchange Act of 1934. The CRD system primarily contains information submitted on uniform broker-dealer and agent registration forms and certain other information related to registration and licensing. The IARD system primarily contains information submitted on uniform investment adviser and agent registration forms and certain other information related to registration and licensing. The information on Uniform Forms filed with the CRD or IARD is deemed to have been filed with each regulator with which the applicant seeks to be registered or licensed and shall be the joint property of the applicant and such regulators. The compilation constituting the CRD database as a whole is the property of FINRA. Neither FINRA nor a participating regulator warrants or guarantees the accuracy or the completeness of the CRD or IARD information. CRD information consists of reportable and non-reportable information. FINRA operates the CRD system in its capacity as a registered national securities association and pursuant to an agreement with the North American Securities Administrators Association, Inc. (NASAA). FINRA operates the IARD system as a vendor pursuant to a contract with the Securities and Exchange Commission and undertakings with NASAA and participating state regulators. Reportable Information: Information that is required to be reported on the current version of the uniform registration forms. Non-Reportable Information: Information that is not currently reportable on a uniform registration form. Information typically is not reportable because it is out-of-date; it was reported in error; or some change occurred either in the disposition of the underlying event after it was reported or in the question on the form that elicited the information. Although not currently reportable, this information was once reported on a uniform form and, consequently, may have become a state record. Users of this information should recognize that filers have no obligation to update non-reportable data; accordingly, it may not reflect changes that have occurred since it was reported.

TWPL00001104

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Details for Request# 4496491

Report: Snapshot - Individual

Parameter Name	Value
Request by CRD # or SSN:	CRD #
Individual CRD # or SSN	5396535
Include Personal Information?	Yes
Include All Registrations with Employments:	Both Current and Previous Employments
Include All Registrations for Current and/or Previous Employments with:	All Regulators
Include Professional Designations?	Yes
Include Employment History?	Yes
Include Other Business	Yes
Include Exam Information?	Yes
Include Continuing Education Information?	Yes
Include Filing History?	Yes
Include Current Reportable Disclosure Information?	Yes
Include Regulator Archive and Z Record Information?	Yes
Is this a Pre-Registration Report Request?	No
User Initials	KS

 CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001105

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396535 - SINGH, AVINASH

Administrative Information:

Composite Information:

Full Legal Name: SINGH, AVINASH

Year of Birth: 1979

State of Residence:

<<No Current Active Employments for this Individual.>>

Reportable Disclosures?

The specified individual has no disclosure that qualifies for reporting under this section (i.e., disclosure required to be reported on Form U-4 or Form U-5). Regulatory and Broker/Dealer Users: Please note that there are three types of disclosure in Web CRD: Reportable, Legacy and Archive disclosure. An individual with no reportable disclosure may or may not have Legacy or Archive disclosure. Investment Adviser Users: Please note that IARD does not include Legacy disclosure. Information reported on previous form filings through IARD is available under Filing History.

Statutorily Disqualification? CLEAR

Registered With Multiple Firms? No

Material Difference in Disclosure? No

Personal Information:

Individual CRD#: 5396535

Other Names Known By: <<No Other Names found for this Individual.>>

Year of Birth: 1979

Registrations with Previous Employer(s):

From 05/07/2007 To 12/06/2007 THOMAS WEISEL PARTNERS LLC(46237)

Reason for Termination: Voluntary

Termination Comment:

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001106

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396535 - SINGH, AVINASH

Administrative Information:

Registrations with Previous Employer(s) (cont):

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AMEX	GS	12/20/2007	T_NOREG	
ARCA	GS	12/20/2007	T_NOREG	
CA	AG	12/20/2007	T_NOREG	
FINRA	GS	12/20/2007	T_NOREG	
MA	AG	12/20/2007	T_NOREG	
NQX	GS	12/20/2007	T_NOREG	
NY	AG	12/20/2007	T_NOREG	
NYSE	GS	12/20/2007	T_NOREG	

Professional Designations:

<< None found for this Individual: SINGH, AVINASH >>

Employment History:

From 05/2007 To Present:	Name: THOMAS WEISEL PARTNERS LLC Location: MUMBAI, INDIA Position: RESEARCH ASSOCIATE Investment Related: Yes
From 02/2006 To 04/2007	Name: EVALUESERVE.COM PVT LTD Location: GURGAON, INDIA Position: SENIOR BUSINESS ANALYST Investment Related: No
From 06/2005 To 01/2006	Name: DE SHAW INDIA PVT. LTD Location: HYDERABAD, INDIA Position: ANALYST Investment Related: No
From 05/2005 To 05/2005	Name: 2/502, RASHMI KHAND, SHARDA NAGAR Location: LUCKNOW, INDIA Position: AT HOME BEFORE JOINING DE SHAW IN HYDERABAD Investment Related: No

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001107

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396535 - SINGH, AVINASH

Administrative Information:

Employment History (cont) :

From 06/2003 To 04/2005	Name:	SYMBIOSIS INSTITUTE OF INTERNATIONAL BUSINESS
	Location:	PUNE, INDIA
	Position:	STUDENT
	Investment Related:	No
From 05/2002 To 05/2003	Name:	2/502, RASHMI KHAND, SHARDA NAGAR
	Location:	LUCKNOW, INDIA
	Position:	PREPARATION OF MBA
	Investment Related:	No
From 06/1998 To 04/2002	Name:	BOYS' HOSTEL, KNIT, FAIZABAD ROAD
	Location:	SULTANPUR, INDIA
	Position:	ENGINEERING STUDENT
	Investment Related:	No
From 04/1998 To 05/1998	Name:	2/502, RASHMI KHAND, SHARDA NAGAR
	Location:	LUCKNOW, INDIA
	Position:	TWO MONTHS AT HOME BEFORE JOINING ENGINEERING COLLEGE AFTER 10+2 GOT
	Investment Related:	No
From 04/1997 To 03/1998	Name:	ST. FRANCIS' COLLEGE
	Location:	LUCKNOW, INDIA
	Position:	STUDENT
	Investment Related:	No

Office of Employment History:

From 05/2007 To 12/2007

Name: THOMAS WEISEL PARTNERS LLC (46237)

Independent Contractor: No

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001108

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396535 - SINGH, AVINASH

Administrative Information:

Office of Employment Address:

CRD Branch #	NYSE Branch Code Number	Firm Billing Code	Address Start Date	Address End Date	Address Address
118143	018		05/07/2007	12/06/2007	55/56, FREE PRESS HOUSE 215, FREE PRESS JOURNAL MARG, NARIMAN MUMBAI, 400 021 INDIA
Registered Location?			Y	Type of Office:	Located At

Other Business:

<<No Other Business found for this Individual.>>

Examination Information:

Exam	Status	Status Date	Exam Date	Grade	Score	Window Dates
S63	OFFICIAL_RESULT	10/22/2007	10/20/2007	PASSED	85	08/22/2007-12/20/2007
S7	WITHDRAW	12/20/2007				08/22/2007-12/20/2007

CE Regulatory Element Status:

Current CE Status: NOCESTATUS

CE Base Date:

Current CE

<<No Current CE Session Found >>

Next CE

<<No Next CE Found for this Individual.>>

CE Directed Sequence History

<<No CE Directed Sequence History Found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396535 - SINGH, AVINASH

Administrative Information:

Inactive CE History Dates

<<No Inactive CE History Found for this Individual.>>

Previous CE Requirement Status

<<No Previous CE Session Found for this Individual.>>

Filing History:

Filing Date	Form Type	Filing Type	Source
12/20/2007	U5	FULL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
08/21/2007	U4	INITIAL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001110

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396535 - SINGH, AVINASH

Administrative Information:

Number of Reportable Events:

<<No Reportable Events found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001111

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396535 - SINGH, AVINASH

Reportable Events:

<<No Reportable Events found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001112

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396535 - SINGH, AVINASH

Regulator Archive and Z Records:

<<No Regulator Archive and Z Records found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001113

5396518 - SINGH, CHARANJIT	3
Reportable Events	8
Regulator Archive and Z Records	9

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Notice

CRD® or IARD(SM) Information: This report contains information from the CRD (Central Registration Depository) system, or the IARD system (Investment Advisers Registration Depository), which are operated by FINRA, a national securities association registered under the Securities Exchange Act of 1934. The CRD system primarily contains information submitted on uniform broker-dealer and agent registration forms and certain other information related to registration and licensing. The IARD system primarily contains information submitted on uniform investment adviser and agent registration forms and certain other information related to registration and licensing. The information on Uniform Forms filed with the CRD or IARD is deemed to have been filed with each regulator with which the applicant seeks to be registered or licensed and shall be the joint property of the applicant and such regulators. The compilation constituting the CRD database as a whole is the property of FINRA. Neither FINRA nor a participating regulator warrants or guarantees the accuracy or the completeness of the CRD or IARD information. CRD information consists of reportable and non-reportable information. FINRA operates the CRD system in its capacity as a registered national securities association and pursuant to an agreement with the North American Securities Administrators Association, Inc. (NASAA). FINRA operates the IARD system as a vendor pursuant to a contract with the Securities and Exchange Commission and undertakings with NASAA and participating state regulators. Reportable Information: Information that is required to be reported on the current version of the uniform registration forms. Non-Reportable Information: Information that is not currently reportable on a uniform registration form. Information typically is not reportable because it is out-of-date; it was reported in error; or some change occurred either in the disposition of the underlying event after it was reported or in the question on the form that elicited the information. Although not currently reportable, this information was once reported on a uniform form and, consequently, may have become a state record. Users of this information should recognize that filers have no obligation to update non-reportable data; accordingly, it may not reflect changes that have occurred since it was reported.

TWPL00001115

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Details for Request# 4496492
Report: Snapshot - Individual

Parameter Name	Value
Request by CRD # or SSN:	CRD #
Individual CRD # or SSN	5396518
Include Personal Information?	Yes
Include All Registrations with Employments:	Both Current and Previous Employments
Include All Registrations for Current and/or Previous Employments with:	All Regulators
Include Professional Designations?	Yes
Include Employment History?	Yes
Include Other Business	Yes
Include Exam Information?	Yes
Include Continuing Education Information?	Yes
Include Filing History?	Yes
Include Current Reportable Disclosure Information?	Yes
Include Regulator Archive and Z Record Information?	Yes
Is this a Pre-Registration Report Request?	No
User Initials	KS

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001116

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

Page 3

Individual: 5396518 - SINGH, CHARANJIT

Administrative Information:

Composite Information:

Full Legal Name: SINGH, CHARANJIT

Year of Birth: 1981

State of Residence: <<No Current Active Employments for this Individual.>>

Reportable Disclosures?

The specified individual has no disclosure that qualifies for reporting under this section (i.e., disclosure required to be reported on Form U-4 or Form U-5). Regulatory and Broker/Dealer Users: Please note that there are three types of disclosure in Web CRD: Reportable, Legacy and Archive disclosure. An individual with no reportable disclosure may or may not have Legacy or Archive disclosure. Investment Adviser Users: Please note that IARD does not include Legacy disclosure. Information reported on previous form filings through IARD is available under Filing History.

Statutorily Disqualification? CLEAR

Registered With Multiple Firms? No

Material Difference in Disclosure? No

Personal Information:

Individual CRD#: 5396518

Other Names Known By: <<No Other Names found for this Individual.>>

Year of Birth: 1981

Registrations with Previous Employer(s):

From 07/02/2007 To 12/05/2007 THOMAS WEISEL PARTNERS LLC(46237)

Reason for Termination: Voluntary

Termination Comment:

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001117

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396518 - SINGH, CHARANJIT

Administrative Information:

Registrations with Previous Employer(s) (cont):

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AMEX	GS	12/20/2007	T_NOREG	
ARCA	GS	12/20/2007	T_NOREG	
CA	AG	12/20/2007	T_NOREG	
FINRA	GS	12/20/2007	T_NOREG	
MA	AG	12/20/2007	T_NOREG	
NQX	GS	12/20/2007	T_NOREG	
NY	AG	12/20/2007	T_NOREG	
NYSE	GS	12/20/2007	T_NOREG	

Professional Designations:

<< None found for this Individual: SINGH, CHARANJIT >>

Employment History:

From 07/2007 To Present:	Name: THOMAS WEISEL PARTNERS LLC Location: MUMBAI, INDIA Position: RESEARCH ASSOCIATE Investment Related: Yes
From 10/2005 To 06/2007	Name: HSBC ELECTRONIC DATA PROCESSING INDIA LTD. Location: BANGALORE, INDIA Position: ASSISTANT MANAGER Investment Related: Yes
From 10/2004 To 09/2005	Name: INTERNATIONAL DATA CORPORATION (IDC) Location: GURGAON, INDIA Position: MARKET ANALYST Investment Related: No
From 06/2004 To 10/2004	Name: FROST & SULLIVAN Location: CHENNAI, INDIA Position: RESEARCH ANALYST Investment Related: No

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001118

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396518 - SINGH, CHARANJIT

Administrative Information:

Employment History (cont) :

From 06/2002 To 05/2004 Name: IIT MADRAS
 Location: CHENNAI, INDIA
 Position: MBA STUDENT
 Investment Related: No

From 06/1998 To 05/2002 Name: THAPPAR INSTITUTE OF ENGG. &
 TECHNOLOGY
 Location: PATIALA, INDIA
 Position: ELECTRONICS ENGG. STUDENT
 Investment Related: No

From 06/1997 To 03/1998 Name: GURU NANAK MISSION PUBLIC SCHOOL
 Location: PAONTA SAHIB, INDIA
 Position: HIGHER SECONDARY SCHOOL STUDENT
 Investment Related: No

Office of Employment History:

From 07/2007 To 12/2007

Name: THOMAS WEISEL PARTNERS LLC (46237)
 Independent Contractor: No

Office of Employment Address:

CRD	NYSE Branch	Firm	Billing	Address	Address	
<u>Branch #</u>	<u>Code</u>	<u>Number</u>	<u>Code</u>	<u>Start Date</u>	<u>End Date</u>	<u>Address</u>
118143	018			07/02/2007	12/05/2007	55/56, FREE PRESS HOUSE 215, FREE PRESS JOURNAL MARG, NARIMAN MUMBAI, 400 021 INDIA
Registered Location?				Y	Type of Office:	Located At

Other Business:

<<No Other Business found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001119

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396518 - SINGH, CHARANJIT

Administrative Information:

Examination Information:

Exam	Status	Status Date	Exam Date	Grade	Score	Window Dates
S63	OFFICIAL_RESULT	09/17/2007	09/14/2007	PASSED	80	08/22/2007-12/20/2007
S7	WITHDRAW	12/20/2007				08/22/2007-12/20/2007

CE Regulatory Element Status:

Current CE Status: NOCESTATUS

CE Base Date:

Current CE

<<No Current CE Session Found >>

Next CE

<<No Next CE Found for this Individual.>>

CE Directed Sequence History

<<No CE Directed Sequence History Found for this Individual.>>

Inactive CE History Dates

<<No Inactive CE History Found for this Individual.>>

Previous CE Requirement Status

<<No Previous CE Session Found for this Individual.>>

Filing History:

Filing Date	Form Type	Filing Type	Source
12/20/2007	U5	FULL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
08/21/2007	U4	INITIAL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001120

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396518 - SINGH, CHARANJIT

Administrative Information:

Number of Reportable Events:

<<No Reportable Events found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001121

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396518 - SINGH, CHARANJIT

Reportable Events:

<<No Reportable Events found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001122

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5396518 - SINGH, CHARANJIT

Regulator Archive and Z Records:

<<No Regulator Archive and Z Records found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001123

5213606 - NAIR, GIRISH CHANDRASEKHARAN
Reportable Events
Regulator Archive and Z Records

3
9
10

TWPL00001124

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Notice

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TWPL00001125

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Details for Request# 4496493
Report: Snapshot - Individual

Parameter Name	Value
Request by CRD # or SSN:	CRD #
Individual CRD # or SSN	5213606
Include Personal Information?	Yes
Include All Registrations with Employments:	Both Current and Previous Employments
Include All Registrations for Current and/or Previous Employments with:	All Regulators
Include Professional Designations?	Yes
Include Employment History?	Yes
Include Other Business	Yes
Include Exam Information?	Yes
Include Continuing Education Information?	Yes
Include Filing History?	Yes
Include Current Reportable Disclosure Information?	Yes
Include Regulator Archive and Z Record Information?	Yes
Is this a Pre-Registration Report Request?	No
User Initials	KS

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001126

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5213606 - NAIR, GIRISH CHANDRASEKHARAN

Administrative Information:

Composite Information:

Full Legal Name: NAIR, GIRISH CHANDRASEKHARAN

Year of Birth: 1977

State of Residence: <<No Current Active Employments for this Individual.>>

Reportable Disclosures?

The specified individual has no disclosure that qualifies for reporting under this section (i.e., disclosure required to be reported on Form U-4 or Form U-5). Regulatory and Broker/Dealer Users: Please note that there are three types of disclosure in Web CRD: Reportable, Legacy and Archive disclosure. An individual with no reportable disclosure may or may not have Legacy or Archive disclosure. Investment Adviser Users: Please note that IARD does not include Legacy disclosure. Information reported on previous form filings through IARD is available under Filing History.

Statutorily Disqualification? CLEAR

Registered With Multiple Firms? No

Material Difference in Disclosure? No

Personal Information:

Individual CRD#: 5213606

Other Names Known By: K G C NAIR, C GIRISH
NAIR, GIRISH

Year of Birth: 1977

Registrations with Previous Employer(s):

From 08/28/2006 To 11/30/2007 THOMAS WEISEL PARTNERS LLC(46237)

Reason for Termination: Voluntary

Termination Comment:

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001127

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5213606 - NAIR, GIRISH CHANDRASEKHARAN

Administrative Information:

Registrations with Previous Employer(s) (cont):

Regulator	Registration Category	Status Date	Registration Status	Approval Date
AMEX	GS	12/20/2007	TERMED	01/23/2007
ARCA	GS	12/20/2007	TERMED	01/23/2007
CA	AG	12/20/2007	TERMED	01/23/2007
FINRA	GS	12/20/2007	TERMED	01/23/2007
FINRA	RS	12/20/2007	TERMED	02/09/2007
MA	AG	12/20/2007	TERMED	01/23/2007
NQX	GS	12/20/2007	TERMED	01/23/2007
NY	AG	12/20/2007	TERMED	01/23/2007
NYSE	GS	12/20/2007	TERMED	01/23/2007
NYSE	RS	12/20/2007	TERMED	02/09/2007

Professional Designations:

<< None found for this Individual: NAIR, GIRISH CHANDRASEKHARAN >>

Employment History:

From 08/2006 To Present:	Name: THOMAS WEISEL PARTNERS LLC Location: MUMBAI, INDIA Position: RESEARCH ASSOCIATE Investment Related: Yes
From 08/2002 To 08/2006	Name: GENPACT (FORMERLY: GE CAPITAL INTL SERVICES) Location: GURGAON, HARYANA, INDIA Position: ASSISTANT MANAGER Investment Related: No
From 04/2002 To 08/2002	Name: THINKSOFT SERVICES (INDIA) PVT. LTD Location: CHENNAI, TAMIL NADU, INDIA Position: BUSINESS ASSOCIATE Investment Related: No

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001128

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5213606 - NAIR, GIRISH CHANDRASEKHARAN

Administrative Information:

Employment History (cont) :

From 07/2000 To 03/2002 Name: T A PAI MANAGEMENT INSTITUTE
 Location: MANIPAL, KARNATAKA, INDIA
 Position: FULL-TIME STUDENT, POST GRADUATE
 DIPLOMA IN MANAGEMENT
 Investment Related: No

From 08/1999 To 06/2000 Name: UNEMPLOYED
 Location: VADODARA, GUJARAT, INDIA
 Position: UNEMPLOYED
 Investment Related: No

From 08/1998 To 07/1999 Name: OFFSHORE HOOK-UP CONSTRUCTION
 SERVICES (INDIA) PVT. LTD.
 Location: MUMBAI, MAHARASHTRA, INDIA
 Position: FIELD ENGINEER
 Investment Related: No

From 12/1996 To 06/1998 Name: NATIONAL INSTITUTE OF TECHNOLOGY
 Location: SURATHKAL, DK, KARNATAKA, INDIA
 Position: FULL-TIME STUDENT, BACHELORS IN
 ENGINEERING
 Investment Related: No

Office of Employment History:

From 08/2006 To 11/2007

Name: THOMAS WEISEL PARTNERS LLC (46237)
 Independent Contractor: No

Office of Employment Address:

CRD Branch #	NYSE Branch Code Number	Firm Billing Code	Address Start Date	Address End Date	Address
118143	018		08/28/2006	11/30/2007	55/56, FREE PRESS HOUSE 215, FREE PRESS JOURNAL MARG, NARIMAN MUMBAI, 400 021 INDIA
Registered Location?			Y	Type of Office:	Located At

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001129

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5213606 - NAIR, GIRISH CHANDRASEKHARAN

Administrative Information:

Other Business:

<<No Other Business found for this Individual.>>

Examination Information:

Exam	Status	Status Date	Exam Date	Grade	Score	Window Dates
S63	OFFICIAL_RESULT	01/17/2007	01/14/2007	PASSED	78	12/14/2006-04/13/2007
S7	OFFICIAL_RESULT	01/23/2007	01/21/2007	PASSED	80	12/14/2006-04/13/2007
S86	OFFICIAL_RESULT	02/09/2007	02/07/2007	PASSED	78	01/25/2007-05/25/2007
S87	OFFICIAL_RESULT	01/30/2007	01/28/2007	PASSED	82	01/25/2007-05/25/2007

CE Regulatory Element Status:

Current CE Status: SATISFIED

CE Base Date: 01/23/2007

Current CE

<<No Current CE Session Found >>

Next CE

Requirement Window	Requirement Type	Session
01/23/2009-05/22/2009	Anniversary	101

CE Directed Sequence History

<<No CE Directed Sequence History Found for this Individual.>>

Inactive CE History Dates

<<No Inactive CE History Found for this Individual.>>

Previous CE Requirement Status

<<No Previous CE Session Found for this Individual.>>

Filing History:

Filing Date	Form Type	Filing Type	Source
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CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001130

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5213606 - NAIR, GIRISH CHANDRASEKHARAN

Administrative Information:

Filing History (cont):

Filing Date	Form Type	Filing Type	Source
12/20/2007	U5	FULL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
01/24/2007	U4	AMENDMENT	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
12/13/2006	U4	INITIAL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC
08/31/2006	NRF	INITIAL	Org CRD# 46237 THOMAS WEISEL PARTNERS LLC

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001131

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5213606 - NAIR, GIRISH CHANDRASEKHARAN

Administrative Information:

Number of Reportable Events:

<<No Reportable Events found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001132

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5213606 - NAIR, GIRISH CHANDRASEKHARAN

Reportable Events:

<<No Reportable Events found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001133

CRD® or IARD(SM) System - Current As Of 04/16/2008 11:41 PM

Snapshot - Individual

CRD® or IARD(SM) System Report provided to: 46237 - THOMAS WEISEL PARTNERS LLC

Request Submitted: 04/17/2008

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Individual: 5213606 - NAIR, GIRISH CHANDRASEKHARAN

Regulator Archive and Z Records:

<<No Regulator Archive and Z Records found for this Individual.>>

CRD® or IARD(SM) System Report -- See notice regarding CRD Data on cover page.

TWPL00001134